

ON LOW-DIMENSIONAL FACES THAT HIGH-DIMENSIONAL  
POLYTOPES MUST HAVEG. KALAI<sup>1</sup>*Received December 1, 1988**Revised March 8, 1989*

We prove that every five-dimensional polytope has a two-dimensional face which is a triangle or a quadrilateral. We state and discuss the following conjecture: For every integer  $k \geq 1$  there is an integer  $f(k)$  such that every  $d$ -polytope,  $d \geq f(k)$ , has a  $k$ -dimensional face which is either a simplex or combinatorially isomorphic to the  $k$ -dimensional cube.

We give some related results concerning facet-forming polytopes and tilings. For example, sharpening a result of Schulte [25] we prove that there is no face to face tiling of  $\mathbf{R}^5$  with crosspolytopes.

## 1. Introduction

A well-known consequence of the Euler relation for 3-dimensional convex polytopes (briefly, 3-polytopes) is:

**Theorem 0.** *Every 3-polytope has a face which is a triangle, a quadrilateral or a pentagon.*

In fact, the average number of vertices of the (two-dimensional) faces of a 3-polytope is strictly less than six. In a dual form, Theorem 0 asserts that the graph of every 3-polytope has a vertex of degree 3, 4 or 5.

The dodecahedron and the 120-cell (see, [10]) are examples of 3- and 4- dimensional polytopes all whose 2-faces are pentagons. Perles and Shephard asked in 1967 [22] whether similar examples exist in higher dimensions. Danzer [11] asked more generally whether there are  $d$ -polytopes,  $d \geq 5$ , with all 2-faces having at least five vertices. The existence of such polytopes (more precisely, their duals) is related to certain constructions of hyperbolic groups, see Gromov [14].

**Theorem 1.** *Every 5-polytope has a 2-face with three or four vertices.*

Theorem 1 clearly implies that every  $d$ -polytope,  $d \geq 5$ , has a 2-face with three or four vertices.

The proof of Theorem 1 relies on recent progress on face numbers and flag numbers of polytopes. In a similar way we prove

**Theorem 2.** *Every 5-polytope has a 3-face with a 3- or 4-valent vertex.*

A  $d$ -polytope  $P$  is *facet-forming* if there is a  $(d+1)$ -polytope  $Q$  all whose facets ( $= d$ -faces) are combinatorially isomorphic to  $P$ . If no such  $Q$  exists,  $P$  is called a *non-facet*. For example, an  $n$ -gon is facet-forming if and only if  $n \leq 5$ . For some results on facet-forming polytopes and non-facets the reader is referred to [22, 2, 4, 23, 24].

Sharpening results of Perles and Shephard [22] we prove:

**Theorem 3.** *The  $d$ -crosspolytope,  $d \geq 4$ , the 24-cell, the 120-cell and the 600-cell are non-facets.*

Thus, with the possible exception of the icosahedron, every regular  $d$ -polytope which is not a facet of a regular  $(d+1)$ -polytope is a non-facet. The case of the icosahedron remains open, but note that Theorem 2 implies that there exists no 5-polytope all whose 3-faces are icosahedra. (For a description of the regular polytopes mentioned here the reader may consult [10].)

The following corollary to the proof of Theorem 3 sharpens a result of Schulte [23].

**Corollary 4.** *There is no face to face tiling of  $\mathbb{R}^d$ ,  $d \geq 5$ , with crosspolytopes.*

Note that Coxeter [10] constructed a face to face tiling of  $\mathbb{R}^4$  with congruent regular crosspolytopes.

Motivated by several results asserting the existence of highly symmetrical substructures in "large" structures, we propose

**Conjecture 5.** *For every two integers  $\ell$ ,  $k \geq 1$  there is an integer  $f(\ell, k)$  such that every  $d$ -polytope  $P$ ,  $d \geq f(\ell, k)$ , has either an  $\ell$ -dimensional face which is a simplex or a  $k$ -dimensional face which is combinatorially isomorphic to a cube.*

We denote by  $f(\ell, k)$  the smallest integer satisfying the asserting of the conjecture (if no such integer exists, set  $f(\ell, k) = \infty$ ). Denote by  $f_s(\ell, k)$  the corresponding number for *simple* polytopes. Theorem 1 and the 120-cell show that  $f(2, 2) = f_s(2, 2) = 5$ .

The next result is based on theorems of Nikulin [27,28] and Blind and Blind [7,30]. It asserts that  $f_s(2, k)$  is finite for every  $k$ , and moreover, if a simple polytope of sufficiently high dimension does not have a triangular 2-face, then *most* of its  $k$ -faces are combinatorially isomorphic to the  $k$ -cube.

**Theorem 6.** *Let  $k \geq 1$  be a fixed integer, and let  $P$  be a simple  $d$ -polytope.*

- (i) *If  $d \geq 2k - 1$  then  $P$  has a  $k$ -face  $F$  with fewer than  $(k+1)(k+2)$  facets. If  $d \geq 2k^2$ , then  $P$  has a  $k$ -face with at most  $2k$  facets.*
- (ii) *If  $P$  does not have a 2-face which is a triangle and  $d \geq 2k^2$  then  $P$  has a  $k$ -face which is combinatorially isomorphic to the  $k$ -cube. Thus  $f_s(2, k) \leq 2k^2 < \infty$ . If  $d > 2k^2 \cdot 1/\varepsilon$  ( $0 < \varepsilon < 1$ ), then more than  $(1 - \varepsilon)f_k(P)$   $k$ -faces of  $P$  are combinatorially isomorphic to the  $k$ -cube. (Here  $f_k(P)$  is the total number of  $k$ -faces of  $P$ .)*

## 2. Face numbers and flag numbers of polytopes

For a  $d$ -polytope  $P$  and  $S \subset \{0, 1, \dots, d-1\}$ ,  $S = \{i_1, \dots, i_k\}$  and  $i_1 < \dots < i_k$ , the *flag number*  $f_S(P)$  is the number of chains  $F_1 \subset F_2 \subset \dots \subset F_k$  of faces of  $P$  such that  $\dim F_\ell = i_\ell$ ,  $k \geq \ell \geq 1$ .  $f_i(P)$  is the number of  $i$ -faces of  $P$ . The vector  $f(P) = (f_0(P), f_1(P), \dots, f_{d-1}(P))$  is called the *f-vector* of  $P$ . We will denote by  $P_i$  the set of  $i$ -faces of  $P$ . Put  $f_0 = 1$ . We will abuse notation and write  $f_{02}$  instead of  $f_{\{0,2\}}$  etc.

Every  $d$ -polytope  $P$  satisfies the Euler relation:

$$\sum_{i=0}^{d-1} (-1)^i f_i(P) = 1 - (-1)^d.$$

The set  $L(P)$  of all faces of a polytope  $P$ , ordered by inclusion, is a lattice called the *face lattice* of  $P$ . Every interval  $[F, T]$  in  $L(P)$  is a face-lattice of a polytope denoted by  $T/F$ . (See [15, p.50].)  $T/F$  is called the *quotient* of  $T$  by  $F$ . (It is also sometimes called the link of  $F$  in  $T$ .) Clearly  $\dim(T/F) = \dim T - \dim F - 1$ . The lattice obtained by reversing the order relations of  $L(P)$  is also a face lattice of a polytope. This polytope is denoted by  $P^*$ , and called the *dual polytope* of  $P$ . (See [15, p.46].)

Two polytopes are *combinatorially isomorphic* if their face lattices are isomorphic. Since we study in this note only combinatorial properties of polytopes, we will regard, from now on, combinatorially isomorphic polytopes as identical. For example, a  $d$ -cube will mean here any polytope combinatorially isomorphic to the  $d$ -dimensional cube.

The Euler relations for intervals in the face-lattice of  $P$  imply many linear relations for the flag numbers. A complete understanding of the space of linear relations of flag numbers of  $d$ -polytopes was achieved by Bayer and Billera [5]. They derived from the Euler relation the following *generalized Dehn-Sommerville* identities:

$$\sum_{j=i+1}^{k-1} (-1)^{j-i-1} f_{S \cup \{j\}} = (1 - (-1)^{k-j-1}) f_S,$$

where  $S$  is a subset of  $\{0, 1, \dots, d-1\}$ ,  $\{i, k\} \subset S \cup \{-1, d\}$  and  $\{i+1, \dots, k-1\} \cap S = \emptyset$ . Moreover, they showed that these identities span the space of linear relations of flag numbers of  $d$ -polytopes.

Define a *special flag number* to be a flag number  $f_S$  for a subset  $S$  of  $\{0, 1, \dots, d-2\}$ , which contains no two consecutive integers. Bayer and Billera proved by successive applications of the generalized Dehn-Sommerville identities, that all flag numbers of  $d$ -polytopes can be expressed as linear combinations of special flag numbers. See also [17, 1] and the Appendix for the cases  $d = 5$ .

For simple polytopes [dually, simplicial polytopes,] the Euler relations for faces [quotients] imply the classic Dehn-Sommerville relations [8, 15, 21] for the face numbers. See Section 5.

Our understanding of linear inequalities which hold for flag numbers of  $d$ -polytopes is less complete. For a  $d$ -polytope  $P$  write  $\beta(P) = f_0(P) - d - 1$  and  $\gamma(P) = f_1(P) - df_0(P) + \binom{d+1}{2} + f_{02}(P) - 3f_2(P)$ .

The fact that every  $d$ -polytope has at least  $d + 1$  vertices is obvious. Thus,  $\beta(P) \geq 0$  for every polytope  $P$ . A deep inequality is that  $\gamma(P) \geq 0$ , for every  $P$ . For simplicial polytopes this inequality is Barnette's lower bound theorem [3]. The general case is proved by the author in [16] using the rigidity theory of frameworks.

Define a  $d$ -form to be a linear combination of special flag numbers of  $d$ -polytopes. A  $d$ -form  $m$  is nonnegative if  $m(P) \geq 0$  for every  $d$ -polytope  $P$ . Given a  $d$ -form  $m_1$  and an  $e$ -form  $m_2$ , define their *convolution*  $m_1 * m_2$ , as the unique  $(d + e + 1)$ -form which satisfies  $m_1 * m_2(P) = \sum \{m_1(F)m_2(P/F) : F \in P_d\}$ , for every  $(d + e + 1)$ -polytope  $P$ . See [17, Sec.2.]. Clearly, if  $m_1$  and  $m_2$  are nonnegative forms then so is  $m_1 * m_2$ .

Put  $\beta^*(P) = \beta(P^*)$  and  $\gamma^*(P) = \gamma(P^*)$ . The nonnegative forms  $1, \beta, \beta^*, \gamma$  and  $\gamma^*$  generate by convolutions many further nonnegative forms. The 21 resulting inequalities for flag numbers of 5-polytopes are given in the Appendix. See also [1] (for the 4-dimensional case) and [17, Sec. 6].

**Remark.**  $\beta$  and  $\gamma$  are the first two in a sequence of  $[d/2]$  linear combinations of flag numbers of  $d$ -polytopes which are conjectured to be non-negative for all  $d$ -polytopes, and believed to be crucial invariants in the combinatorial theory of polytopes. See [17, 26].

### 3. Faces of 5-polytopes

**Proof of Theorem 1.** Let  $P$  be a 5-polytope all whose 2-faces have at least five vertices. Recall that  $P_k$  denotes the set of  $k$ -faces of  $P$ . Consider the following weights on  $P_2$ . For  $F \in P_2$ , define  $w(F) = f_0(P/F) - 2$  and  $W = \sum \{w(F) : F \in P_2\}$ . Note that  $w(F) \geq 1$  for every  $F$ .

Theorem 1 follows from the following stronger assertion.

**Theorem 7.** For every 5-polytope  $P$ ,

$$(*) \quad \sum \{f_0(F) \cdot w(F) : F \in P_2\} < 5W.$$

**Proof.** We will consider the following inequalities for  $P$ . Each inequality is first given in terms of  $\beta$  and  $\gamma$ , then in terms of flag numbers and finally in terms of special flag numbers.

$$1) \beta^* = f_4 - 6 = f_3 - f_2 + f_1 - f_0 - 4 \geq 0.$$

$$2) 1/2 \sum \{\beta^*(F/G) : F \in P_4, G \in P_0\} = 1/2(f_{014} - 4f_{04}) = f_{13} - 2f_{03} + f_{02} - 2f_1 \geq 0.$$

$$3) \sum \{\gamma(P/F) : F \in P_0\} = f_{02} + f_{013} - 3f_{03} - 4f_{01} + 10f_0 = f_{02} + 2f_{13} - 3f_{03} - 8f_1 + 10f_0 \geq 0$$

If (\*) is violated we must also have

$$4) \sum \{(f_0(F) - 5) \cdot (f_0(P/F) - 2) : F \in P_2\} = f_{024} - 2f_{02} - 5f_{24} + 10f_2 = 5f_{03} - 3f_{13} - 2f_{02} - 10f_3 + 10f_2 \geq 0.$$

Adding 2, 3 and 4 one gets  $10f_0 - 10f_1 + 10f_2 - 10f_3 = 20 - 10f_4 \geq 0$  which contradicts 1. ■

The proof of Theorem 1 for the special case of simple polytopes is much simpler. The Dehn-Sommerville relations (see [15, p. 425],) give for a simple 5-polytope  $P$ ,

$$f_{12} - 5f_2 = 4f_1 - 5f_2 = 20 - 10f_4 < 0.$$

Thus, the average number of vertices of 2-faces of a simple 5-polytope is strictly less than five. In a dual form, we obtain that if  $C$  is a simplicial 4-dimensional sphere, then the average number of vertices in the links of 2-faces of  $C$  is strictly less than five. This implies that every locally-finite simplicial or cubical  $d$ -manifold (with no boundary) of dimension five or more has a  $(d-2)$ -face  $L$  whose link is  $\Delta$  or  $\square$ .

Gromov ([14], Sec. 4) described a natural metric on cubed manifolds, and introduced a combinatorial condition for the curvature to be negative. This “no  $\Delta$  no  $\square$ ” condition implies that the link of every  $(d-2)$ -face is neither a  $\Delta$  nor a  $\square$ . It follows that Gromov’s condition can never be satisfied for  $d$ -manifolds,  $d \geq 5$ .

The proof of Theorem 1 was obtained as follows: We wrote all 21 linear inequalities for the flag numbers of 5-polytopes which can be derived from  $\beta, \beta^*, \gamma$  and  $\gamma^*$ . We used a computer to solve the linear program obtained by adding inequality 4) to these inequalities, and got the answer that there is no solution. I am thankful to Edna Wigderson for her valuable help in this part of the work.

Let us remark that the inequalities for flag numbers of 5-polytopes do *not* imply any absolute bound on the average number of vertices of 2-faces in a 5-polytope. It is an interesting open problem to find such a bound, or better (in view of Conjecture A in [17, Sec. 6]), to construct 5-polytopes with arbitrary large average size of 2-faces.

Theorem 2 follows from the following stronger assertion.

**Theorem 8.** *The average number of vertices in  $F/\{v\}$  over all 3-faces  $F$  and vertices  $v \in F$  is strictly less than 4.5.*

**Proof.** If the assertion of Theorem 8 is false then  $(**) f_{013} - 4.5f_{03} = 2f_{13} - 4.5f_{03} \geq 0$ . The linear program obtained by adding  $(**)$  to our 21 inequalities didn’t have any feasible solution. ■

For the contradiction in the proof of Theorem 1, the inequality  $\gamma \geq 0$  is needed. It is not known whether this inequality holds for non-polytopal spheres. (I conjecture that  $\gamma$  is non-negative for every polyhedral pseudomanifold [17, Sec. 9].) The proof of Theorem 8 relies *only* on those inequalities derived from  $\beta \geq 0$ . Theorem 8 thus applies to arbitrary Eulerian lattices.

#### 4. Facets and non-facets

**Proof of Theorem 3.** In the case where all facets of a 5-polytope  $P$  are (combinatorially) regular polytopes, it is easy to express all flag numbers of  $P$  as linear combinations of two face numbers. Let  $P$  be a 5-polytope all whose 4-faces are 4-crosspolytopes. Then,  $2f_3 = 16f_4$  and  $2f_1 - 3f_2 + 4f_3 - 8f_4 = 0$ . Setting  $a = f_4$  and  $b = f_3$ , the  $f$ -vector of  $P$  is  $(\frac{1}{2}b - 5a + 2, \frac{3}{2}b - 12a, b, 8a, a)$ . Also we have  $f_{02} = 3b$ ,  $f_{03} = 32a$ , and  $f_{13} = 48a$ . It turns out that these relations are not compatible with the inequalities for flag-numbers of 5-polytopes.

Thus, the 4-crosspolytope is a non-facet, and this implies that the  $d$ -crosspolytope is a non-facet for every  $d \geq 4$ . (For  $d \geq 6$  this was proved already in [22].) The situation for the other cases of Theorem 3 is completely the same. ■

The proof of Theorem 3 relies only on those inequalities derived from  $\beta \geq 0$ . Theorem 3 thus applies to arbitrary Eulerian lattices. In particular, Theorem 3 applies to spherical polytopes and can be used to identify non-tiles as done by Schulte [23].

**Proof of Corollary 4.** Let  $U$  be a face to face tiling of  $\mathbb{R}^d$  by  $d$ -crosspolytopes. The vertex figure of a vertex of  $U$  is a spherical  $d$ -polytope all whose facets are combinatorially isomorphic to the  $(d-1)$ -crosspolytope. This is impossible for  $d \geq 5$ . ■

In a similar way to the above proofs one proves that in every quasi-simplicial 5-polytope, the average value of  $\gamma(F)$  on  $F$  is strictly less than 5. This gives a new proof to the fact that every simplicial 4-polytope  $P$  satisfying  $\gamma(P) \geq 5$  is a non-facet ([22,(50)]). It follows that the class of facet-forming simplicial 4-polytopes is nowhere dense in the class of 4-dimensional convex bodies. (It is known that  $\gamma$  tends to infinity for every sequence of simplicial polytopes which converges to a smooth convex body. [16].)

### 5. Low-dimensional faces of simple polytopes

Let  $P$  be a simple  $d$ -polytope. Consider the vector  $h = (h_0, h_1, \dots, h_d)$ , defined by the relations

$$f_k = h_k + \binom{k+1}{k} h_{k+1} + \dots + \binom{k+j}{k} h_{k+j} + \dots + \binom{d}{k} h_d, \quad k = 0, 1, \dots, d.$$

This vector is the  $h$ -vector of the dual polytope  $P^*$ .

The Dehn-Sommerville relations assert that  $h_i = h_{d-i}$ , for every  $i$ . ( $h_0 = h_d$  is the Euler relation). Another fundamental property of the  $h$ -numbers of simple polytopes is that  $h_i \geq 0$  for every  $i$ . See [21,8].

We also need the following result of Blind and Blind [7].

**Theorem BB.** *Let  $P$  be a simple  $d$ -polytope with no triangular 2-faces. Then (i)  $P$  has at least  $2d$  facets, (ii) if  $P$  has exactly  $2d$  facets then  $P$  is combinatorially isomorphic to the  $d$ -cube.*

**Remark.** Theorem BB was proved in response to a conjecture of Kupitz [18], asserting that if  $P$  is a  $d$ -polytope and  $P$  has no triangular 2-faces, then  $f_i(P) \geq f_j(C_d)$ , for every  $j$ . (Here,  $C_d$  is the  $d$ -cube.) Kupitz also conjectured that equality holds only for the  $d$ -cube. Blind and Blind settled the conjecture for simple polytopes and in several other cases. (Added in proof: Kupitz's conjecture was recently proved by Blind and Blind [30].)

**Proof of Theorem 6.** We first consider the case where  $d$  is odd. Put  $d = 2r + 1$ . Let  $k \leq r$ .

$$\begin{aligned} f_k = & \left[ \binom{r}{k} + \binom{r+1}{k} \right] h_r + \left[ \binom{r-1}{k} + \binom{r+2}{k} \right] h_{r-1} + \dots \\ & + \left[ \binom{r-j}{k} + \binom{r+j+1}{k} \right] h_{r-j} + \dots \end{aligned}$$

Since  $P$  is simple,  $f_{k-1,k} = f_{k-1}(d-k+1)$  for every  $k$ . By a comparison of the representations of  $f_r$  and  $f_{r+1}$  as linear combinations of  $h$ -numbers, it is easy to see that  $f_r - (r+2)f_{r+1}$  is a positive combination of  $h_{r+2}, \dots, h_d$ . Therefore  $(r+1)(r+2)f_{r+1} > (r+1)f_r = f_{r,r+1}$ . Thus, the average number of facets in an  $r+1$ -face of  $P$  is less than  $(r+1)(r+2)$ . For  $d$  even the proof is similar. Consider

the expression  $u_k = (2k + \varepsilon)f_k - (d - k + 1)f_{k-1}$ . A simple calculation shows that  $u_k$  is a positive combination of  $h$ -numbers, for  $d > 2 \cdot k^2 \cdot 1/\varepsilon$ . This proves (i). (See note added in proof.)

Let  $P$  be a  $d$ -polytope with no triangular 2-face. If  $d \geq 2k^2$ , then  $P$  must have a  $k$ -face  $F$  with at most  $2k$  facets. Since  $F$  itself has no triangular 2-faces, it follows from Theorem BB(i) that  $F$  has at least  $2k$  facets. Therefore  $F$  has exactly  $2k$  facets, and by Theorem BB(ii),  $F$  is a cube. If  $d \geq 2k^2 1/\varepsilon$ , then at least  $(1 - \varepsilon)f_k$   $k$ -faces of  $P$  has  $2k$  facets each, so at least  $(1 - \varepsilon)f_k$   $k$ -faces of  $P$  are cubes. This completes the proof of Theorem 6. ■

We cannot extend Theorem 6(iii) to simple polytopes with no simplex as an  $\ell$ -face, for  $\ell \geq 3$ . There is, however, a natural class of polytopes for which this can be done. Let  $r = (r_1, r_2, \dots, r_t)$  be a partition of  $d$ . A simplicial  $d$ -polytope  $P$  is  $r$ -balanced if its vertices can be colored with  $t$  colors so that every facet has  $r_i$  vertices of color  $i$ . See [25, 6]. If  $r_i \leq \ell$  for every  $i$  then  $P^*$  does not have an  $\ell$ -face which is a simplex. In this case the existence of triangle free faces of dimension  $> d/(\ell - 1)$  is evident, and it follows from Theorem 6 that if  $d \gg k, \ell$  then  $P^*$  has a  $k$ -face which is a cube. In fact, using the extension of the Dehn-Sommerville relations for such polytopes [6], it can be proved that if  $d$  is sufficiently large, most  $k$ -dimensional faces of  $P^*$  are cubes.

The proof of Theorem BB(i) extends directly to show that a simple  $d$ -polytope with no simplex as an  $\ell$ -face, has at least  $\ell d/(\ell - 1)$  facets. Using this, it can be proved that for such polytopes, the average number of facets in a  $k$ -face is close to  $2k$  when  $d \gg k$ .

## 6. Final remarks

1. The fact that the sum of the vertex degrees of the graph of a 3-polytope with  $n$  vertices is at most  $6n - 12$  is stated already in René Descartes' work on polyhedra. In Descartes' words (translated to English by Federico, [12, p.57, Prop. 6]): "I always take  $\alpha$  for the number of solid angels. . . The actual number of plane angels. . . cannot exceed  $6\alpha - 12$ ."

2. Is it true, in some sense that a typical  $k$ -face of a typical simple  $d$ -polytope,  $d \gg k$ , is combinatorially isomorphic to the  $k$ -cube?

3. It was asked in [22]: "If  $P$  is a simple  $d$ -polytope which is facet-forming, is there a simple  $(d + 1)$ -polytope  $Q$  all whose facets are isomorphic to  $P$ ?" The following example shows that the answer is negative for  $d \geq 4$ . Let  $P$  be a prism over a  $d - 1$ -simplex,  $d \geq 4$ .  $P$  is facet-forming ([22]). Note that  $P$  is dual to a stacked polytope. If  $Q$  is a simple  $(d + 1)$ -polytope all whose  $d$ -facets are isomorphic to  $P$ , then by [16],  $Q$  is dual to a stacked polytope, but then  $Q$  has a facet which is a simplex.

4. Conjecture 5 is known for zonotopes. McMullen [20] proved that every  $d$ -zonotope has a  $[(d + 1)/2]$ -face which is a parallelotope. There is a simple correspondence between  $d$ -zonotopes and arrangements of points in the projective  $(d - 1)$ -space (see, e.g., [20, Sec. 7]). Under this correspondence, the fact that every zonotope has a 2-face which is a quadrilateral, is just the Sylvester-Gallai theorem (which thus immediately follows from Theorem 0, as is well known). McMullen's result for  $d \geq 4$

follows from Hansen's high dimensional extension of the Sylvester-Gallai theorem [13].

It is amusing to check Conjecture 5 for various polytopes based on combinatorial structures. For the vertex-packing polytope (see e.g., [9]), Ramsey's theorem implies the existence of  $k$ -faces (of a very special nature) which are simplices or cubes.

Problems analogous to Conjecture 5 may be studied for other classes of graded posets, such as incidence polytopes and geometric lattices.

5. Define a *regular sequence* of polytopes  $\{P_n : n \geq 0\}$  by the property that each  $P_n$  is an  $n$ -polytope and each  $k$ -face of  $P$  is combinatorially isomorphic to  $P_k$ . The only regular sequences of simple polytopes are the sequences of simplices and of cubes. (If conjecture 5 is true, there are no other regular sequences of (arbitrary) polytopes.) Define a *semi-regular* sequence of polytopes  $\{P_n : n \geq 0\}$ ,  $\dim P_n = n$ , by the property that each face of each  $P_n$  is combinatorially isomorphic to a Cartesian product of  $P_i$ 's. Two existing semi-regular sequences of simple polytopes are the permutahedra (duals to the first barycentric subdivision of simplices,) and associahedra [19]. A general study of such sequences would be of interest.

### Appendix: linear relations for flag-numbers of 5-polytopes.

1. Linear equalities. There are 32 flag-numbers and they can be expressed as linear combinations of the special flag-numbers  $1 (= f_0)$ ,  $f_0$ ,  $f_1$ ,  $f_2$ ,  $f_3$ ,  $f_{02}$ ,  $f_{03}$  and  $f_{13}$ . We express those flag-numbers which are needed for the linear inequalities below.  $f_4 = f_3 - f_2 + f_1 - f_0 + 2$ ,  $f_{01} = 2f_1$ ,  $f_{34} = 2f_3$ ,  $f_{04} = f_{03} - f_{02} + 2f_1$ ,  $f_{12} = f_{02}$ ,  $f_{14} = f_{13} - f_{12} + 2f_1$ ,  $f_{23} = f_{24} = f_{13} + f_{03} - 2f_3$ ,  $f_{123} = f_{124} = f_{013} = f_{024} = 2f_{13}$ ,  $f_{034} = 2f_{03}$ , etc.

2. Linear inequalities. We make the following notation.  $\beta_{i,j} = \Sigma\{T/F) : T \in P_j, F \in P_i\}$ , and  $\beta_j = \beta_{-1,j} = \Sigma\{\beta(T) : T \in P_j\}$ . The same notation applies to  $\beta^*$  and  $\gamma$ .

Let  $P$  be a  $d$ -polytope. Note that for  $d < 2$ ,  $\beta(P) = 0$ ; for  $d = 2$ ,  $\beta(P) = \beta^*(P)$ ; for  $d < 4$ ,  $\gamma(P) = 0$  and for  $d = 4$ ,  $\gamma(P) = \gamma^*(P)$ .

1.  $\beta = f_0 - 6 \geq 0$
2.  $\beta^* = f_4 - 6 = f_3 - f_2 + f_1 - f_0 - 4 \geq 0$
3.  $\gamma = f_{02} - 3f_2 + f_1 - 5f_0 + 15 \geq 0$
4.  $\gamma^* = f_{24} - 3f_2 + f_3 - 5f_4 + 15 = f_{13} - f_{03} - 2f_3 + 2f_2 - 5f_1 + 5f_0 + 5 \geq 0$
5.  $\beta_4 = f_{04} - 5f_4 = f_{03} - f_{02} - 5f_3 + 5f_2 - 3f_1 + 5f_0 - 10 \geq 0$
6.  $\beta_4^* = f_{34} - 5f_4 = -3f_3 + 5f_2 - 5f_1 + 5f_0 - 10 \geq 0$
7.  $\gamma_4 = f_{024} - 3f_{24} + f_{14} - 4f_{04} + 10f_4 = -f_{03} + 3f_{02} + 4f_3 - 10f_2 + 4f_1 - 10f_0 + 20 \geq 0$
8.  $\beta_{0,5} = f_{01} - 5f_0 = 2f_1 - 5f_0 \geq 0$
9.  $\beta_{0,5}^* = f_{04} - 5f_0 = f_{03} - f_{02} + 2f_1 - 5f_0 \geq 0$
10.  $\gamma_{0,5} = f_{013} - 3f_{03} + f_{02} - 4f_{01} + 10f_0 = 2f_{13} - 3f_{03} + f_{02} - 8f_1 + 10f_0 \geq 0$
11.  $\beta_3 = f_{03} - 4f_3 \geq 0$
12.  $\beta_3^* = f_{23} - 4f_3 = f_{13} - f_{03} - 2f_3 \geq 0$
13.  $\beta_{0,4} = f_{014} - 4f_{04} = 2f_{13} - 4f_{03} + 2f_{02} - 4f_1 \geq 0$
14.  $\beta_{0,4}^* = f_{034} - 4f_{04} = -2f_{03} + 4f_{02} - 8f_1 \geq 0$
15.  $\beta_{1,5} = f_{12} - 4f_1 = f_{02} - 4f_1 \geq 0$
16.  $\beta_{1,5}^* = f_{14} - 4f_1 = f_{13} - f_{02} - 2f_1 \geq 0$



17.  $\beta_2 = f_{02} - 3f_2 \geq 0$
18.  $\beta_{0,3} = f_{013} - 3f_{03} = 2f_{13} - 3f_{03} \geq 0$
19.  $\beta_{1,4} = f_{124} - 3f_{14} = -f_{13} + 3f_{02} - 6f_1 \geq 0$
20.  $\beta_{2,5} = f_{23} - 3f_2 = f_{13} - f_{03} + 2f_3 - 3f_2 \geq 0$
21.  $\beta_2 * \beta_{2,5} = \Sigma\{\beta(F)\beta(P/F) : F \in P_2\} = f_{024} - 3f_{02} - 3f_{24} + 9f_2 = -f_{13} + 3f_{03} - 3f_{02} - 6f_3 + 9f_2 \geq 0$

**Note added in proof:**

Part (i) in Theorem 6 follows from the following theorem of V. V. Nikulin [27,28]. (See also Khovanskii [29].)

**Theorem N.** *The average number of  $l$ -dimensional faces of a  $k$ -dimensional face of a simple  $n$ -dimensional polytope for  $0 \leq l < k < (d+1)/2$  is at most*

$$\binom{n-l}{n-k} \left( \binom{\lfloor n/2 \rfloor}{l} + \binom{\lfloor (n+1)/2 \rfloor}{l} \right) / \left( \binom{\lfloor n/2 \rfloor}{k} + \binom{\lfloor (n+1)/2 \rfloor}{k} \right).$$

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